

: Kevin I. Bertness

Appln. No.: 10/656,526

entor

Filed: September 5, 2003

For : METHOD AND APPARATUS FOR

MEASURING A PARAMETER OF A

VEHICLE ELECTRICAL SYSTEM

Docket No.: C382.12-0163

Group Art Unit: 2858

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

DAY OF DE

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PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the non-U.S. patents and/or publications cited are enclosed.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

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FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
OPE IST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
(DEC 1 0 2003 2	Kevin I. Bertness	
\ 1	Filing Date	Group Art:
TRABEWANT TO	September 5, 2003	2858

Examiner Initial					Name	Class	Sub Class	Filing Date If Appropriate
AA	2,514,745	7/11/50	Dalzell	171	95			
AB	3,593,099	7/13/71	Scholl	320	13			
AC	3,607,673	9/21/71	Seyl	204	1			
AD	3,676,770	7/11/72	Sharaf et al.	324	29.5			
AE	3,729,989	5/1/73	Little	73	133			
AF	3,753,094	8/14/73	Furuishi et al.	324	29.5			
AG	3,808,522	4/30/74	Sharaf	324	29.5			
АН	3,811,089	5/14/74	Strezelewicz	324	170			
AI	3,873,911	3/25/75	Champlin	324	29.5			
AJ	3,886,443	5/27/75	Miyakawa et al.	324	29.5			
AK	3,889,248	6/10/75	Ritter	. 340	249			
AL	3,906,329	9/16/75	Bader	320	44			

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
59-17892	1/30/84	Japan			Abstract Only
59-17893	1/30/84	Japan			Abstract Only
59-17894	1/30/84	Japan	_		Abstract Only
OTHER ART (Includ	ing Author,	Title, Date, Pertiner	nt Pages	, Etc.)	
"Electrochemical I Batteries Internat	mpedance Spe ional, Apri	ectroscopy in Battery 1 1997, pgs. 59 and 62	Developm 2-63.	ment and	Testing",
"Battery Impedance 1959, pgs. 922-925	", by E. Wi	llihnganz et al., Elec	ctrical E	Engineer	ing, September
"Determining The E 368.	nd of Batte:	ry Life", by S. DeBard	delaben,	IEEE, 19	986, pgs. 365-
"A Look at the Imp 397.	edance of a	Cell", by S. Debardel	laben, <u>I</u>	EEE, 1988	3, pgs. 394-
	59-17892 59-17893 59-17894 OTHER ART (Includ "Electrochemical In Batteries Internat." "Battery Impedance 1959, pgs. 922-925 "Determining The Edge 368." "A Look at the Impedance Impedance Impedance 1959."	59-17892 1/30/84 59-17893 1/30/84 59-17894 1/30/84 OTHER ART (Including Author, "Electrochemical Impedance Sp Batteries International, Apri "Battery Impedance", by E. Wi 1959, pgs. 922-925. "Determining The End of Batte 368. "A Look at the Impedance of a	59-17892 1/30/84 Japan 59-17893 1/30/84 Japan 59-17894 OTHER ART (Including Author, Title, Date, Pertiner "Electrochemical Impedance Spectroscopy in Battery Batteries International, April 1997, pgs. 59 and 62 "Battery Impedance", by E. Willihnganz et al., Electroscopy in Battery 1959, pgs. 922-925. "Determining The End of Battery Life", by S. DeBard 368. "A Look at the Impedance of a Cell", by S. Debardel	59-17892 1/30/84 Japan 59-17893 1/30/84 Japan OTHER ART (Including Author, Title, Date, Pertinent Pages, "Electrochemical Impedance Spectroscopy in Battery Development Batteries International, April 1997, pgs. 59 and 62-63. "Battery Impedance", by E. Willihnganz et al., Electrical Fileson, pgs. 922-925. "Determining The End of Battery Life", by S. DeBardelaben, 368. "A Look at the Impedance of a Cell", by S. Debardelaben, IE	Document No. Date Country Class Class 59-17892 1/30/84 Japan 59-17893 1/30/84 Japan OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) "Electrochemical Impedance Spectroscopy in Battery Development and Batteries International, April 1997, pgs. 59 and 62-63. "Battery Impedance", by E. Willihnganz et al., Electrical Engineers 1959, pgs. 922-925. "Determining The End of Battery Life", by S. DeBardelaben, IEEE, 1988. "A Look at the Impedance of a Cell", by S. Debardelaben, IEEE, 1988.

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DATE CONSIDERED:

		Sheet 2 of 17
FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
() 0 7000 []	Kevin I. Bertness	
1 NH. NO	Filing Date	Group Art:
	September 5, 2003	2858

Exam: Init		Document No.	Dat	:e		Name	С	lass	Sub Class	Filing Date If Appropriate
	AT	3,909,708	9/30/	75	Champ	lin		324	29.5	
	AU	3,936,744	2/3/7	6	Perlm	utter		324	158	
	AV	3,946,299	3/23/	76	Chris	tianson et al.		320	43	
	AW	3,947,757	3/30/	76	Grube	et al.		324	28	
	AX	3,969,667	7/13/	76 ·	McWil	liams		324	29.5	
	AY	3,979,664	9/7/7	6	Harri	s		324	17	,
	AZ	3,984,762	10/5/	76	Dowgi	allo, Jr.		324	29.5	
	BA	3,984,768	10/5/	76	Stapl	es		324	62	
	ВВ	4,008,619	2/22/	77	Alcai	de et al.		73	398	
	вс	4,053,824	10/11	/77 Dupui		s et al.	324		29.5	
	BD	4,070,624	1/24/	78	Taylo	r		327	158	
	BE	4,086,531	4/25/	78	Berni	er	324		158	
				FO	REIGN	PATENT DOCUMENTS		•		
		Document	No.	D	ate Country		Class		Sub Class	Translation Yes No
•	BF.	60225078		11/9	9/85	Japan	·			Abstract Only
	BG	04372536		12/2	25/92	Japan				Abstract Only
	вн 🖊	04131779		5/6/	92	Japan		,		Abstract Only
		OTHER ART (Includ	ing A	uthor,	Title, Date, Pert	iner	it Page:	s, Etc.)	
	BI	"The Impedan Applied Elec	ce of I	Elect mistr	rical 9 Y, 1980	Storage Cells", by D, pgs. 3-11.	N.A	. Hamps	son et al	., <u>Journal of</u>
	BJ	"A Package f <u>Ionics</u> , 1986	or Impe	edanc 136-	e/Admit 140.	ttance Data Analysi	is",	by B.	Boukamp,	Solid State
	ВК	"Precision o Diffusion Pa 1-11.	f Imped	dance	Specti by J. N	roscopy Estimates of Macdonald et al., 2	of E	ulk, Re lectro	eaction R anal, Che	ate, and m., 1991, pgs.

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Examiner Initial			Name	Class	Sub Class	Filing Date If Appropriate
BL	4,112,351	9/5/78	Back et al.	324	16	
ВМ	4,114,083	9/12/78	Benham et al.	320	39	
BN	4,126,874	11/21/78	Suzuki et al.	354	60	
ВО	4,178,546	12/11/79	Hulls et al.	324	158	
ВР	4,193,025	3/11/80	Frailing et al.	324	427	
BQ	4,207,611	6/10/80	Gordon	364	580	
BR	4,315,204	2/9/82	Sievers et al.	322	28	
BS	4,316,185	2/16/82	Watrous et al.	340	636	
ВТ	4,322,685	3/30/82	Frailing et al.	324	429	
BU	4,363,407	12/14/82	Barkler et al.	209	3.3	
BV	4,369,407	1/18/83	Korbell	324	416	
BW	4,412,169	10/25/83	Dell'Orto	320	64	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BX	03282276	12/12/91	Japan			Abstract Only
BY	03274479	12/5/91	Japan			Abstract Only
BZ_	5216550	8/27/93	Japan			Abstract Only
CA /	59017894	1/30/84	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

СВ	"Internal	Resistance:	Harbinger	of Ca	pacity I	Loss in	Starve	ed Electrolyte	e Seal	.ed
	Lead Acid Ch. 2477.	Batteries, pp. 128,131	by Vaccaro	, F.J.	et al.,	AT&T	Bell I	Laboratories,	1987	IEEE,

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FORM PT	ro-14	49					Atty. Docket No.: Serial No. C382.12-0163 10/656,526			
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Examir Initi		Document No.	Dat	:e		Name		Class	Sub Class	Filing Date If Appropriate
	CC	4,390,828	6/28/	83	Conve	rse et al.		320	32	
	CD	4,392,101	7/5/8	7/5/83 Saar		Saar et al.		320	20	
	CE	4,396,880	8/2/83		Windebank			320	21	
	CF	4,408,157	10/4/	10/4/83 E		Beaubien		324	62	
	CG	4,423,378	12/27	/83	Marin	Marino et al.		324	427	
	СН	4,423,379	12/27	/83	Jacobs et al.			324	429	
	CI	4,424,491	1/3/8			entz et al.		324 324	433 158	
	CJ	4,459,548	7/10/							
	CK	4,514,694	4/30/	85	Finger			324	429	
	CL	4,520,353	5/28/	85	McAul	iffe		340	636	
	CM	4,667,279	5/19/	87	Maier			363	46	
	CN	4,678,998	7/7/8	7	Muram	atsu		324	427	
	СО	4,679,000	7/7/8	7	Clark			324	428	
	CP	4,697,134	9/29/		1	m et al.		320	48	
		<u> </u>		FC	DREIGN	PATENT DOCUMENT	rs			
	:	Document	No.	E	ate	Country		Class	Sub Class	Translation Yes No
	CQ /	EP 0 772 056	5 A1	5/7	/97	EPO				X
	CR/	EP 0 637 754	l A1	2/8	/95	EPO				Х
	cs/	2 088 159 A		6/3		Great Britain				Х

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CT IEEE Recommended Practice For Maintenance, Testings, and Replacement of Large Lead Storage Batteries for Generating Stations and Substations, The Institute of Electrical and Electronics Engineers, Inc., ANSI/IEEE Std. 450-1987, 3/9/1987, pp. 7-15.

"Field and Laboratory Studies to Assess the State of Health of Valve-Regulated Lead Acid Batteries: Part I Conductance/Capacity Correlation Studies", by D. Feder et al., IEEE, August 1992, pgs. 218-233.

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:					
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Examiner Initial	Document No.	Dat	:e		Name	Class	Sub Class	Filing Date If Appropriate
CV	4,707,795	11/17	/87	Alber	et al.	364	550	
CW	4,709,202	11/24	/87	Koenc	k et al.	320	43	
CX	CX 4,710,861 12/1/87		Kanne	r	363	46		
CY	4,719,428	1/12/	88	Liebe	rmann	324	436	
CZ	4,743,855	5/10/	88	Randi	n et al.	324	430	
DA	4,816,768	3/28/	89	Champ	lin	324	428	
DB	4,820,966	4/11/	89	Fridm	an	320	32	
DC 4,825,170 4/25/8		89	Champ	lin	324	436		
DD	DD 4,849,700 7/18/89		Morio	Morioka et al.		427		
DE	4,881,038	11/14	/89	Champlin Champlin McCuen MacIntyre et al.		324 324 340 324	426 430 636 435	•
DF	4,912,416	3/27/	90					
DG	4,929,931	5/29/	90					
DH	4,931,738	6/5/9	0					
DI	4,947,124	8/7/9	0	Hause	r	324	430	
DJ	4,956,597	9/11/	90	Heave	y et al.	320	14	
DK	4,968,941	11/6/		Roger		324	428	
			FO	REIGN	PATENT DOCUMENTS			
	Document	ent No.		ate	Country	Clas	Sub Class	Translation Yes No
DL/	WO 93/22666		11/1	1/93	WIPO			Х
DM	WO 98/58270		12/2	23/98	WIPO			Х
	OTHER ART	(Includ	ing A	uthor,	Title, Date, Pert	inent Page	es, Etc.)	

DN "Battery Impedance", by E. Willihnganz et al., <u>Electrical Engineering</u>, September 1959, pgs. 922-925.

"JIS Japanese Industrial Standard-Lead Acid Batteries for Automobiles", <u>Japanese Standards Association UDC</u>, 621.355.2:629.113.006, Nov. 1995.

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FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
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TRADE	September 5, 2003	2858

Examiner Initial	Document No.	Date		Name	Class	Sub Class	Filing Date If Appropriate
DP	5,004,979	4/2/91	Marino	et al.	324	160	
DQ	5,032,825	7/16/91	Xuznio	ki	340	636	
DR	5,047,722	9/10/91	Wurst	et al.	324	430	
DS	5,087,881	2/11/92	Peacoc	:k	324	378	
DT	5,126,675	6/30/92	Yang		324	435	
DU	5,140,269	8/18/92	Champl	in.	324	433	
DV	5,144,248	9/1/92	Alexan	dres et al.	324	428	,
DW	5,170,124	12/8/92	Blair	et al.	324	434	
DX	5,204,611	4/20/93	Nor et	al.	320	21	
DY	5,214,370	5/25/93	Harm e	et al.	320	35	
DZ	5,214,385	5/25/93	Gabrie	el et al.	324	434	
EA	5,241,275	8/31/93	Fang		324	430	
EB	5,254,952	10/19/93	Salley	et al.	324	429	
EC	5,281,919	1/25/94	Palani	samy	324	427	
ED	5,281,920	1/25/94	Wurst		324	430	
EE .	5,295,078	3/15/94	Stich	et al.	364	483	
EF	5,298,797	3/29/94	Redl		307	246	
EG	5,300,874	4/5/94	Shimam	oto et al.	320	15	
EH	5,302,902	4/12/94	Groehl		324	434	
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FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
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Examiner Initial	Document No.	Date		Name	Class	Sub Class	Filing Date If Appropriate
EK	5,331,268	7/19/94	Patin	o et al.	320	20	
EL	5,336,993	8/9/94	Thoma	s et al.	324	158.1	
EM	5,352,968	10/4/94	Reni	et al.	320	35	
EN	5,343,380	8/30/94	Champ	lin	363	46	
EO	5,365,160	11/15/9	4 Leppo	et al.	320	22	
EP	5,365,453	11/15/9	94 Start	up et al.	364	481	
EQ	5,381,096	1/10/95	Hirze	1	324	427	
ER	5,426,371	6/20/95	Salle	y et al.	324	429	
ES	5,432,426	7/11/95	Yoshi	da	320	20	
ET	5,434,495	7/18/95	Toko	Toko		44	
EU	5,442,274	8/15/95	Tamai	Tamai		23	
EV	5,457,377	10/10/9	5 Jonss	on	320	5	
EW	5,449,996	9/12/95	Matsu	moto et al.	320	20	
EX	5,449,997	9/12/95	Gilmo	re et al.	320	39	
EY	5,485,090	1/16/96	Steph	ens	324	433	
EZ	5,519,383	5/21/96	De La	Rosa	340	636	
FA	5,528,148	6/18/96	Roger	s	324	426	
FB	5,550,485	8/27/96	Falk		324	772	
FC	5,561,380	10/1/96	Sway-	Tin et al.	324	509	
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Examiner Initial	Document No.	Date		Name	Class	Sub Class	Filing Date If Appropriate
FF	5,562,501	10/8/96	Kinos	shita et al.	439	852	
FG	5,572,136	11/5/96	Champ	olin	324	426	
FH	5,574,355	11/12/96	McSha	nne et al.	320	39	
FI	5,585,728	12/17/96	Champ	olin	324	427	
FJ	5,592,093	1/7/97	Kling	pbiel	324	426	
FK	5,596,260	1/21/97	Morav	rec et al.	320	30	-
FL	5,598,098	1/28/97	Champ	olin	324	430	
FM	5,602,462	2/11/97	Stich	et al.	323	258	
FN	5,606,242	2/25/97	Hull	et al.	320	48	
FO	5,621,298	4/15/97	Harve	Harvey		5	
FP	5,642,031	6/24/97	Brott	.0	320	21	., ,
FQ	5,650,937	7/22/97	Bouna	ıga	364	483	
FR	5,652,501	7/29/97	McClu	are et al.	320	17	
FS	5,675,234	10/7/97	Green	ıe	320	15	
FT	5,677,077	10/14/97	Faulk		429	90	
FU	5,699,050	12/16/97	Kanaz	awa	340	636	
FV	5,701,089	12/23/97	Perki	ns	327	772	
FW	5,717,336	2/10/98	Basel	l et al.	324	430	
FX	5,757,192	5/26/98	McSha	ne et al.	324	427	
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FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
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Examiner Initial	Document No.	Dat	:e		Name	Class	Sub Class	Filing Date If Appropriate
GA	5,773,978	6/30/	98	Becke	r	324	430	
GB	5,789,899	8/4/9	8	van P	huoc et al.	320	30	
GC	5,793,359	8/11/	98	Ushik	ubo	345	169	
GD	5,821,756	10/13	/98	McSha	ne et al.	324	430	
GE	5,831,435	11/3/	98	Troy		324	426	
GF	5,872,443	2/16/	99	Willi	amson	320	21	
GG	5,914,605	6/22/	99	Bertn	ess	324	430	
GH	5,945,829	8/31/	99	Bertn	ess	324	430	
GI	3,356,936	12/5/	67	Smith	Smith		29.5	
GJ	5,488,300	1/30/	96	Jamie	son	324	432	
GK	6,002,238	12/14	/99	Champ	lin	320	134	
GL	6,037,777	3/14/	00	Champ	lin	324	430	
GM	6,051,976	4/18/	00	Bertn	ess	324	426	
GN	6,081,098	6/27/	00	Bertn	ess et al.	320	134	
GO	6,091,245	7/18/	00	Bertn	ess	324	426	
GP	6,104,167	8/15/	00	Bertn	ess et al.	320	132	
GQ	6,137,269	10/24		Champ		320	150	
			FC	REIGN	PATENT DOCUMENTS			
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GR _	DE 29 26 716	6 B1	1/1	5/81	Germany			Х
GS ,	0 022 450 A1	1	1/2	1/81	EPO	-		Х

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- GT "Performance of Dry Cells", by C. Hambuechen, Preprint of Am. Electrochem. Soc., April 18-20, 1912, paper No. 19, pgs. 1-5.
 - GU "A Bridge for Measuring Storage Battery Resistance", by E. Willihncanz, The Electrochemical Society, preprint 79-20, April 1941, pgs. 253-258.

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate			
GV	3,562,634	2/9/71	Latner	31	04				
GW	4,379,990	4/12/83	Sievers et al.	322	99				
GX	5,144,218	9/1/92	Bosscha	320	44				
GY	5,315,287	5/24/94	Sol	340	455				
GZ	5,469,043	11/21/95 _	Cherng et al.	320	31				
АН	5,546,317	8/13/96	Andrieu	364	481	.,			
нв	5,583,416	12/10/96	Klang	320	22				
НC	5,589,757	12/31/96	Klang	320	22				
НD	5,656,920	8/12/97	Cherng et al.	320	31				
HE	5,710,503	1/20/98	Sideris et al.	320	6				
HF	5,760,587	6/2/98	Harvey	324	434				
HG	5,808,469	9/15/98	Kopera	324	43.4				
нн	6,008,652	12/28/99	Theofanopoulos et al.	324	434				
HI	6,009,369	12/28/99	Boisvert et al.	701	99				
НJ	6,031,354	2/29/00	Wiley et al.	320	116				
нк	6,037,751	3/14/00	Klang	320	160				
HL	6,072,299	6/6/00	Kurie et al.	320	112				
НМ	6,094,033	7/25/00	Ding et al.	320	132				
HN	6,163,156	12/19/00	Bertness	324	426				
НО	6,172,483	1/9/01	Champlin	320	134				
HP	6,172,505	1/9/01	Bertness	324	430				

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	Document No.	Date	Country	Class	Sub Class	Transla Yes	tion No
HQ,	2 749 397	12/5/97	France			Х	
HR	59215674	12/5/84	Japan			Abstract	Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

HS

EXAMINER:

DATE CONSIDERED:

Atty. Docket No.: C382.12-0163

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Applicant:

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- Si fi Alex			U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
нт	3,989,544	11/2/76	Santo	429	65	
HU	4,745,349	5/17/88	Palanisamy et al.	320	22	
HV	4,876,495	10/24/89	Palanisamy et al.	320	18	
HM	4,937,528	6/26/90	Palanisamy	324	430	
НХ	4,968,942	11/6/90	Palanisamy	324	430	
НҮ	5,179,335	1/12/93	Nor	320	21	
HZ	5,266,880	11/30/93	Newland	320	14	
IA	5,451,881	9/19/95	Finger	324	433	
IB	5,705,929	1/6/98	Caravello et al.	324	430	
IC	5,717,937	2/10/98	Fritz	395	750.01	
ID	5,862,515	1/19/99	Kobayashi et al.	702	63	
IE	5,895,440	4/20/99	Proctor et al.	702	63	
IF	5,929,609	7/27/99	Joy et al.	322	25	
IG	6,072,300	6/6/00	Tsuji	320	116	
IH	4,659,977	4/21/87	Kissel et al.	320	64	
II	4,913,116	4/3/90	Katogi et al.	123	425	
IJ	5,160,881	11/3/92	Schramm et al.	322	7	
IK	5,338,515	8/16/94	Dalla Betta et al.	422	95	
IL	5,537,967	7/23/96	Tashiro et al.	123	792.1	
IM	5,548,273	8/20/96	Nicol et al.	340	439	
IN	5,747,909	5/5/98	Syverson et al.	310	156	

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10,	62-180284	8/7/87	Japan			Abstract
IP/	7-128414	5/19/95	Japan			Abstract

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IR	6,114,834	9/5/00	Parise	320	109	
IS	6,150,793	11/21/00	Lesesky et al.	320	104	·
IT	3,876,931	4/8/75	Godshalk	324	29.5	
IU	5,347,163	9/13/94	Yoshimura	307	66	
IV	5,939,861	8/17/99	Joko et al.			
IW	5,339,018	8/16/94	Brokaw	320	35	
IX	5,412,323	5/2/95	Kato et al.	324	429	
IY	5,426,416	6/20/95	Jefferies et al.	340	664	
IZ	5,825,174	10/20/98	Parker	324	106	
JA	5,939,855	8/17/99	Proctor et al.	320	104	
JB	5,254,952	10/19/93	Salley et al.	324	429	
JC	4,217,645	8/12/80	Barry et al.	364	483	
JD	4,633,418	12/30/86	Bishop	364	554	
JE	5,321,626	6/14/94	Palladino	364	483	
JF	5,969,625	10/19/99	Russo	340	636	
JG	6,222,369	4/24/01	Champlin	324	430	
JН	6,225,808	5/1/01	Varghese et al.	324	426	
JI	6,249,124	6/19/01	Bertness	324	426	
JJ	6,259,254	7/10/01	Klang	324	427	
JK	6,262,563	7/17/01	Champlin	320	134	
JL	6,294,896	9/25/01	Champlin	320	134	
JM	6,294,897	9/25/01	Champlin	320	153	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
Jì	4-8636	1/13/92	Japan			X (Abstract)
J	63027776	2/5/88	Japan			Abstract Only

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JP	4,379,989	4/12/83	Kurz et al.	320	26	
JQ	4,680,528	7/14/87	Mikami et al.	320	32	
JR	5,633,985	5/27/97	Severson et al.	395	2.76	
JS	5,739,667	4/14/98	Matsuda et al.	320	5	
JT	4,024,953	5/1977	Nailor, III	206	344	
JU	6,250,973	6/2001	Lowery et al.	439	763	
JV	6,254,438	7/2001	Gaunt	439	755	
JW	6,304,087	10/16/01	Bertness	324	426	
JX	6,310,481	10/30/01	Bertess	324	430	
JY	6,313,607	11/6/01	Champlin	320	132	
JZ	6,313,608	11/6/01	Varghese et al.	32	132	
KA	6,316,914	11/13/01	Bertness	320	134	
KB	5,435,185	7/25/95	Eagan	73	587	
KC	5,445,026	8/29/95	Eagan	73	591	
KD	4,667,143	5/19/87	Cooper et al.	320	22	
KE	4,847,547	7/11/89	Eng, Jr. et al.	320	35	
KF	5,574,355	11/12/96	McShane et al.	320	39	
KG	4,663,580	5/5/87	Wortman	320	35	

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 KH/	WO 99/23738	5/14/99	WIPO			Х
KI /	WO 94/05069	3/3/94	PCT			Х

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- KJ National Semiconductor Corporation, "High Q Notch Filter", 3/69, Linear Brief 5, March 1969.
 - KK Burr-Brown Corporation, "Design A 60 Hz Notch Filter with the UAF42", 1/94, AB-071, 1994.
 - KL National Semiconductor Corporation, "LMF90-4th-Order Elliptic Notch Filter", 12/94, RRD-B30M115, December 1994.

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FORM PTO-1449

Atty. Docket No.: Serial No.: 10/656,526

Applicant:

Applicant:

Kevin I. Bertness

Filing Date

Group Art:

September 5, 2003

- 1 0 TRA	00/		U.S. PATENT DOCUMENTS	_		
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
KM	6,323,650	11/27/01	Bertness et al.	324	426	
KN	6,329,793	12/11/01	Bertness et al.	320	132	
ко	6,331,762	12/18/01	Bertness	320	134	
KP	6,332,113	12/18/01	Bertness	702	63	
KQ	4,297,639	10/27/81	Branham	324	429	
KR	5,653,659	8/5/97	Kunibe et al.	477	111	
KS	6,161,640	12/19/00	Yamaguchi	180	65.8	
KT	4,351,405	6/28/82	Fields et al.	180	65	
KU	6,359,441	3/16/02	Bertness	324	426	
KV	4,047,091	9/1997	Hutchines et al.	363	59	
KW	5,653,659	8/1997	Kunibe et al.	477	111	
KX	5,637,978	6/1997	Kellett et al.	320	2	
KY	5,095,223	3/1992	Thomas	307	110	
KZ	5,194,799	3/1993	Tomantschger	320	2	
LA	5,754,417	5/1998	Nicollini	363	60	

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LC								
LD								
	OTHER ART	(Includ	ing Author,	Title,	Date, Pertine	nt Pages,	Etc.)	

LE "Alligator Clips with Wire Penetrators" J.S. Popper, Inc. product information, downloaded from http://www.jspopper.com/, undated

LF "#12: LM78S40 Simple Switcher DC to DC Converter", ITM e-Catalog, downloaded from http://www.pcbcafe.com, undated

"Simple DC-DC Converts Allows Use of Single Battery", <u>Electronix Express</u>, downloaded from http://www.elexp.com/t_dc-dc.htm, undated

EXAMINER:

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DATE CONSIDERED:

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FORM PTO-1449	Atty. Docket No.: C382.12-0163	Serial No.: 10/656,526
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
0 7000 💆	Kevin I. Bertness	
OEC ' - A	Filing Date	Group Art:
34,000	September 5, 2003	2858

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Examiner Initial	Document No.	Date	. Name	Class	Sub Class	Filing Date If Appropriate
LH	5,818,234	10/1998	McKinnon	324	433	
LI	6,140,797	10/2000	Dunn	320	105	
LJ	6,181,545	1/2001	Amatucci et al.	361	502	
LK	6,346,795	2/2002	Haraguchi et al.	320	136	
LM	6,347,958	2/2002	Tsai	439	488	
LN	6,351,102	2/26/02	Troy	320	139	
LO	6,359,441	3/19/02	Bertness	324	426	
LP	6,363,303	3/26/02	Bertness	701	29	
LQ	5,037,778	8/6/91	Stark et al.	437	216	
LR	6,144,185	11/7/00	Dougherty et al.	320	132	
LS	5,711,648	1/27/98	Hammerslag	414	786	
LT	5,927,938	7/27/99	Hammerslag	414	809	
LU	5,951,229	9/14/99	Hammerslag	414	398	
LV	5,961,561	10/5/99	Wakefield, II	701	29	
ΓM	5,961,604	10/5/99	Anderson et al.	709	229	
LX	6,236,332	5/22/01	Conkright et al.	340	825.06	
LY	6,351,102	2/26/02	Troy	320	139	
LZ	5,821,757	10/13/98	Alvarez et al.	324	434	
MA	6,167,349	12/26/00	Alvarez	702	63	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
MB						
	OTHER ART (Includ	ing Author,	Title, Date, Pertin	ent Pages	, Etc.)	
MC	"DC-DC Converter B http://www.poweder DC/converter.shtm,	desianers.co	r <u>Designers</u> , downloam/InforWeb.design_co	aded from enter/arti	icles/DC-	
MD						

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DATE CONSIDERED:

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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COT & TRA	05		U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
ME	4,665,370	5/1987	Holland	324	429	
MF	4,361,809	11/1982	Bil et al.	324	426	
MG	6,392,414	5/21/02	Bertness	324	429	
МН	6,417,669	7/9/02	Champlin	324	426	
MI	6,424,158	7/23/02	Klang	324	433	
MJ	6,441,585	8/17/02	Bertness	320	132	
MK	6,445,158	9/3/02	Bertness et al.	320	104	
ML	6,384,608	5/7/02	Namaky	324	425	
MM	6,388,448	5/14/02	Cervas	324	426	
MN	6,307,349	10/23/01	Koenck et al.	320	112	
MO	6,411,098	6/25/02	Laletin	324	436	
MP	6,456,045	9/24/02	Troy et al.	320	139	
MQ	6,466,025	10/15/02	Klang	324	429	
MR	6,466,026	10/15/02	Champlin	324	430	
MS	4,564,798	1/14/86	Young	320	6	
МТ	5,563,496	10/8/96	McClure	320	48	
MU	5,796,239	8/18/98	van Phuoc et al.	320	107	

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
MV /	RU 2089015-C1	8/27/97	Russia			Abstract Only
MW ,	09061505	3/7/97	Japan			Abstract Only
MX /	10056744	2/24/98	Japan			Abstract Only

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

MY	"Notification of Declaration", E	of Transmittal operation of Transmittal of PCT/US02/29461.	of	The	International	Search	Report	or	the
MZ	"Notification of Declaration", E	of Transmittal opening of PCT/US03/07546.	of	The	International	Search	Report	or	the

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
NA	4,888,716	12/19/89	Ueno	364	550	
NB	6,495,990 B2	12/17/02	Champlin	320	132	
NC	4,385,269	5/24/83	Aspinwall et al.	320	14	
ND	4,686,442	8/11/87	Radomski	320	17	
NE	6,061,638	5/9/00	Joyce	702	63	
NF	6,359,442	3/19/02	Henningson et al.	324	426	
NG	5,541,489	7/30/96	Dunstan	320	2	
NH	6,424,157	7/23/02	Gollomp et al.	324	430	
NI	6,534,993	3/18/03	Bertness	324	433	
NJ	6,544,078	4/8/03	Palmisano et al.	439	762	
NK	6,556,019	4/29/03	Bertness	324	426	
NL	6,566,883	5/20/03	Vonderhaar et al.	324	426	
NM	6,586,941	7/1/03	Bertness et al.	324	426	
NN	6,597,150	7/22/03	Bertness et al.	320	104	
NO	2002/0171428	11/21/02	Bertness	324	426	
NP	6,263,268	7/17/01	Nathanson	701	29	

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NQ/	WO 00/67359	11/9/00	WIPO			Х
NR	WO 01/51947	7/19/01	WIPO			Х
NS/	WO 98/04910	2/5/98	WIPO			Х
NT /	WO 00/62049	10/19/00	WIPO			Х
NU						
NV						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

NW "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/06577.

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